

JFW/H



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/827,102	04/18/2004	Graham Cruicksanks	JAR-001

021323  
 TESTA, HURWITZ & THIBEAULT, LLP  
 HIGH STREET TOWER  
 125 HIGH STREET  
 BOSTON, MA 02110



## CONFIRMATION NO. 1455 FORMALITIES LETTER



\*OC000000013091784\*

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents

10/22/2004 BABRAHA1 00000006 10827102 P.O. Box 1450  
 01 FC:1001 790.00 0P Alexandria VA 22313-1450  
 02 FC:1051 130.00 0P

---

*MS*  
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
Attorney Docket No.: JAR-001  
(10110/3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Brighouse *et al.* CONFIRMATION NO.: 1455  
SERIAL NO.: 10/827,102 GROUP NO.: 2171  
FILING DATE: April 18, 2004 EXAMINER: Not yet assigned  
TITLE: SYSTEM AND METHOD FOR TRACKING DOCUMENTS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 19<sup>th</sup> day of October, 2004.

Lisa Marie Solis

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Check in the amount of \$1,350.00;
4. Petition for Extension of Time Under 37 CFR 1.136(a);
5. Supplemental Application Data Sheet (2 pages);
6. Response to Notice to File Missing Parts of Nonprovisional Patent Application (1 page);
7. Declaration and Power of Attorney for Utility or Design Patent Application (3 pages);
8. Copy of Notice to File Missing Parts of Nonprovisional Patent Application (2 pages); and
9. Return receipt postcard.

3130816-1



# TRANSMITTAL FORM

	Application Serial Number	10/827,102
	Filing Date	April 18, 2004
	First Named Inventor	Brighouse
	Group Art Unit	2171
	Examiner Name	Not yet assigned
	Attorney Docket No.	JAR-001
	Patent No.	Not applicable
	Issue Date	Not applicable

## ENCLOSURES (check all that apply)

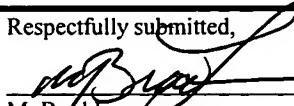
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input type="checkbox"/> Petition for Extension of Time	<input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Response to Notice to File Missing Parts of Nonprovisional Patent Application <input checked="" type="checkbox"/> Supplemental Application Data Sheet <input checked="" type="checkbox"/> Petition for Extension of Time Under 37 CFR 1.136(a)
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above		

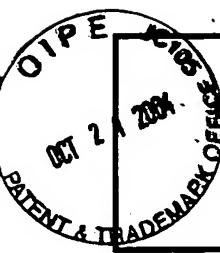
## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

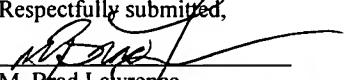
Date: October 19, 2004  
 Reg. No. 47,210  
 Tel. No.: (617) 310-8009  
 Fax No.: (617) 248-7100

Respectfully submitted,  
  
 M. Brad Lawrence  
 Attorney for Applicants  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110



**EE TRANSMITTAL  
FY 2005**

<i>Complete if Known</i>	
Application Serial Number	10/827,102
Filing Date	April 18, 2004
First Named Inventor	Brighouse
Group Art Unit	2171
Examiner Name	Not yet assigned
Attorney Docket No.	JAR-001

METHOD OF PAYMENT					FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other					3. ADDITIONAL FEES			
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.					Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
3. <input type="checkbox"/> Applicant claims small entity status.					130	65	Surcharge - late filing fee or oath	130.00
					50	25	Surcharge - late provisional filing fee or cover sheet	
					130	130	Non-English specification	
					2,520	2,520	Request for ex parte reexamination	
					110	55	Extension for reply within first month	
					430	215	Extension for reply within second month	430.00
					980	490	Extension for reply within third month	
					1530	765	Extension for reply within fourth month	
					2080	1040	Extension for reply within fifth month	
					340	170	Notice of Appeal	
					340	170	Filing a brief in support of an appeal	
					340	170	Request for oral hearing	
					130	130	Petitions to the Commissioner	
					180	180	Submission of Information Disclosure Statement	
					790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
					790	395	For each additional invention to be examined (37 CFR 1.129(b))	
					100	100	Certificate of Correction for applicant's error	
					110	55	Submission of Terminal Disclaimer	
<input type="checkbox"/> Multiple Dependent Claim(s), if any  Total Claims 15 - 20 = 0 x \$ 18.00 = 0.00					Other fee (Specify)			
Independent Claims 3 - 3 = 0 x \$ 88.00 = 0.00					Other fee (Specify)			
<input type="checkbox"/> TOTAL: 790.00								
SMALL ENTITY DISCOUNT: SUBTOTAL (1) (\$ 790.00)								
2. AMENDMENT CLAIM FEES								
Claims Remaining After Amend. Highest No. Previously Paid For Present Extra Rate Fee Paid							SUBTOTAL (3) (\$ 560.00)	
Total - = x \$ 18.00 = Indep. - = x \$ 88.00 = <input type="checkbox"/> First Presentation of Multiple Dep. Claim + \$300.00 =							SUBTOTAL (1) 790.00 SUBTOTAL (2) 0.00 SUBTOTAL (3) 560.00	
TOTAL: (\$) SMALL ENTITY DISCOUNT: (\$) SUBTOTAL (2) (\$)							TOTAL (\$ 1,350.00)	
CORRESPONDENCE ADDRESS					SIGNATURE BLOCK			
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100					Respectfully submitted,  M. Brad Lawrence Attorney for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110			



**PATENT**  
Attorney Docket No.: JAR-001  
(10110/3)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Brighouse *et al.* CONFIRMATION NO.: 1455  
SERIAL NO.: 10/827,102 GROUP NO.: 2171  
FILING DATE: April 18, 2004 EXAMINER: Not yet assigned  
TITLE: SYSTEM AND METHOD FOR TRACKING DOCUMENTS

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL PATENT APPLICATION**

Sir:

In response to the Notice to File Missing Parts mailed from the Patent Office on June 28, 2004, in the above-referenced nonprovisional patent application, enclosed please find the following:

1. Transmittal Form;
2. Fee Transmittal;
3. Check in the amount of \$1,350.00 to cover the filing fee and late filing fee;
4. Petition for Extension of Time Under 37 CFR 1.136(a);
5. Declaration and Power of Attorney for Utility or Design Patent Application;
6. Copy of Notice to File Missing Parts of Nonprovisional Patent Application;
7. Supplemental Application Data Sheet;
8. Certificate of First Class Mail; and
9. Return Receipt Postcard.

Applicants believe that the \$1,350.00 check enclosed herein covers all required fees; however, please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter and please apply any overpayment credit to Deposit Account No. 20-0531.

Respectfully submitted,

  
M. Brad Lawrence  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: October 19, 2004  
Reg. No.: 47,210

Tel. No.: (617) 310-8009  
Fax No.: (617) 248-7100